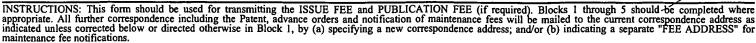
PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/892,500	06/28/2001	Toru Hosoi	PNDF-01095	3231

TITLE OF INVENTION: ARRAYED WAVEGUIDE GRATING AND OPTICAL COMMUNICATION SYSTEM USING ARRAYED WAVEGUIDE GRATING

APPLN, TYPE	SMALL ENTITY	ISSUE FI	FR	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$ 1370-14/0 0			\$1 670 17 00		
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► EXAMINER		ART UNIT		CLASS-SUBCLASS			
SUCHECKI, KRYSTYNA		2882		385-037000			
1. Change of correspondence address or indication of "Fee Address CFR 1.363).		` l	(1) the names of up to 3 registered patent attorneys 1 McGinn & Gibb, PLI				
Change of correspondence address (or Change of CAddress form PTO/SB/122) attached.		Correspondence	respondence or agents OR, alternatively,				
"Fee Address" indic PTO/SB/47; Rev 03-02 Number is required.	ation form e of a Customer	(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME AN	D RESIDENCE DATA TO B	E PRINTED ON T	HE PATEN	Γ (print or type)			
PLEASE NOTE: Unles recordation as set forth	ss an assignee is identified bein 37 CFR 3.11. Completion	elow, no assignee of this form is NOT	data will app a substitute	pear on the patent. If an assign for filing an assignment.	ee is identified below, the do	cument has been filed for	
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NEC Corpora	ition	Т	okyo,	JAPAN 01 FC:1501 02 FC:1504 03 FC:8001	. 1	1400.00	
Please check the appropriat	te assignee category or catego	ries (will not be pri	nted on the p	patent): 🗖 Individual 🛂 Co	orporation or other private grou	up entity Government	
4a. The following fee(s) are	e enclosed:	_	Payment of				
Issue Fee				in the amount of the fee(s) is end			
	small entity discount permitte	ed)	Payment	by credit card. Form PTO-2038	is attached. (Deficie	ncies Only)	
Advance Order - # c	of Copies <u>5</u>	^{>}	The Dire Deposit Acc	ector is hereby authorized by chount Number 50-0481	narge the required fee(s), or concern (enclose an extra cop	redit any overpayment, to py of this form).	
	s (from status indicated above	•					
a. Applicant claims S	SMALL ENTITY status. See	37 CFR 1.27.	b. Applic	ant is no longer claiming SMAI	L ENTITY status. See 37 CF	R 1.27(g)(2).	
The Director of the USPTO NOTE: The Issue Fee and I interest as shown by the rec	is requested to apply the Issu Publication Fee (if required) we ords of the United States Pate	e Fee and Publicate vill not be accepted int and Trademark	ion Fee (if an from anyone Office.	y) or to re-apply any previously cother than the applicant; a regi-	y paid issue fee to the applicati stered attorney or agent; or the	on identified above. assignee or other party in	
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Inis collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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